

# Hamming Distance Kernelisation via Topological Quantum Computation

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**Abstract.** We present a novel approach to computing Hamming distance and its kernelisation within Topological Quantum Computation. This approach is based on an encoding of two binary strings into a topological Hilbert space, whose inner product yields a natural Hamming distance kernel on the two strings. Kernelisation forges a link with the field of Machine Learning, particularly in relation to binary classifiers such as the Support Vector Machine (SVM). This makes our approach of potential interest to the quantum machine learning community.

**Keywords:** quantum computing, topology, kernel function

## 1 Introduction

The Hamming distance of two strings is defined as the number of positions in which the strings are different. It was introduced in the context of error detecting and error correcting codes [8]. The concept is widely applicable to diverse areas such as information theory, coding theory, cryptography and telecommunication. As well as its use throughout computer science, the Hamming distance is interesting from the perspectives of statistical data analysis and machine learning in that it constitutes a simple (in fact the simplest) instance of a *kernel distance*. Kernel distances are built from kernel functions via the metric relation  $D(x, y) = K(x, x) + K(y, y) - 2K(x, y)$ . Critically, from our perspective, kernel functions can be shown to be equivalent to an inner product within a space produced via the kernel function's implicit feature mapping, thereby enabling e.g. linear learning algorithms to learn highly non-linear decision boundaries. In many applications where data classification is based on dissimilarity measures (e.g. string matching for pattern recognition), kernels provide a method for classification and regression in the absence of obvious features.

In this paper we show that there is a strong relationship between Hamming distance and Topology and we use it to define a quantum algorithm that

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computes a Hamming distance based kernel. Topology is the branch of Mathematics in which two objects are identified whenever one can continuously be deformed into the other. It has been used in physics to define a very particular class of quantum field theories, namely the Topological Quantum Field Theories (TQFTs), modelling phenomena such as the fractional quantum Hall effect. Quantum computers can benefit from the use of topological properties in as far as they can guarantee a form of robustness [14]. This is possible because in a *topological quantum computer* information is encoded in the collective states of many quasi-particles, so-called *anyons*, which are naturally protected from decoherence by their braiding behaviour.

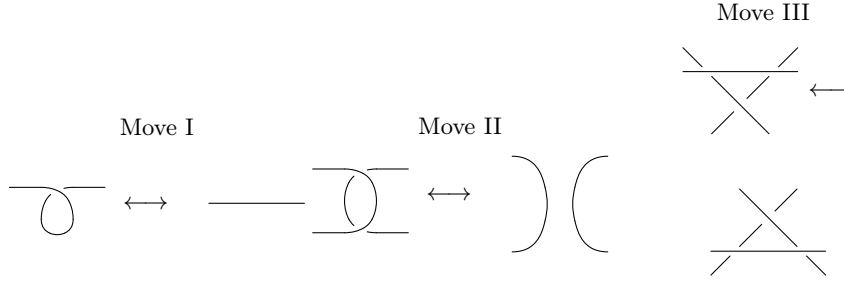
Topological Quantum Computation (TQC) is equivalent in computational power to other standard models of quantum computation such as the quantum circuit model and the quantum Turing machine model. However, certain algorithms are more naturally implementable on a topological quantum computer. A well known example of such an algorithm is the one for evaluating a knot invariant called the Jones polynomial [7,2]. The quantum algorithm we present is essentially the application of the Jones polynomial algorithm after an appropriate problem reduction. This is obtained by an encoding of binary strings as some special braiding in TQC and deriving their Hamming distance as the Jones polynomial of a particular link. We can then exploit the computational features of TQC for comparing two strings and obtain an estimation of the Hamming distance between them. Moreover, the encoding function corresponds to the feature map of a kernel defined as the dot product in the Hilbert space of the topological quantum algorithm (i.e. the feature space). This demonstrates the suitability of TQC for defining kernel methods in a natural way.

## 2 Preliminaries

In this section we briefly review the main concepts in Topology that are relevant for the work presented in this paper, namely those of knots/links, braiding and related results.

Knot theory [1,12] studies the topological properties of mathematical knots and links. A knot is an embedding of a circle in the 3-dimensional Euclidean space  $\mathbb{R}^3$ , up to continuous deformations, and a link is a collection of knots that may be linked or knotted together. A fundamental question in knot theory is whether two knot diagrams, i.e. projections of knots on the plane, represent the same knot or rather they are distinct. The Reidemeister theorem [16] says that two links can be continuously deformed into each other if and only if any diagram of one can be transformed into a diagram of the other by a sequence of moves called Reidemeister moves [17]. If there exists such a transformation the two links are said to be isotopic.

The Reidemeister moves can be of three types, as depicted in Figure 2. Move I undoes a twist of a single strand, move II separates two unbraided strands and finally move III slides a strand under a crossing. A powerful knot invariant is the Jones polynomial  $V_L(A)$  [9] which is a Laurent polynomial in the variable  $A$



**Fig. 1.** The Reidemeister moves

with integer coefficients. Given two links  $L_1$  and  $L_2$  and their respective Jones polynomials  $V_{L_1}(A)$  and  $V_{L_2}(A)$ , the following relation holds true:

$$L_1 = L_2 \Rightarrow V_{L_1}(A) = V_{L_2}(A) \text{ or, equivalently, } V_{L_1}(A) \neq V_{L_2}(A) \Rightarrow L_1 \neq L_2.$$

A useful formulation of this polynomial due to Kauffman [10,11] is given in terms of the so-called bracket polynomial or Kauffman bracket, defined in the following section. Crucial for our work is that such a polynomial can be efficiently computed in TQC [2].

## 2.1 Kauffman Bracket

**Definition 1.** *The Kauffman bracket of any (unoriented) link diagram  $D$ , denoted  $\langle L \rangle$ , is a Laurent polynomial in the variable  $A$ , characterized by the three rules:*

1.  $\langle \bigcirc \rangle = 1$ , where  $\bigcirc$  is the standard diagram of the loop
2.  $\langle D \sqcup \bigcirc \rangle = (-A^2 - A^{-2}) \langle D \rangle = d \langle D \rangle$ , where  $\sqcup$  denotes the distant union<sup>3</sup> and  $(-A^2 - A^{-2}) = d$ .
3.  $\langle \text{crossing} \rangle = A \langle \text{region 1} \rangle + A^{-1} \langle \text{region 2} \rangle$

where  $\text{crossing}$  and  $\text{region 1}$  and  $\text{region 2}$  represent some regions of link diagrams where they differ as shown.

Rule 3 expresses the *skein relation*: it takes in input a crossing  $r_i$  and dissolves it generating two new links that are equal to the original link except for  $r_i$ , and therefore with a smaller number of crossings. By applying it recursively to a link we obtain at the end a number of links with no crossings but only simple

<sup>3</sup> The distant union of two arbitrary links  $L$  and  $M$ , denoted by  $L \sqcup M$  is obtained by first moving  $L$  and  $M$  so that they are separated by a plane, and then taking the union.

loops, though this number is exponential in the number of crossings. Rule 1 and Rule 2 show how to calculate the polynomial after the decomposition achieved by applying Rule 3.

Note that the Kauffman bracket of a link diagram is invariant under Reidemeister moves II and III but it is not invariant under move I.

**Proposition 1.** *For every two links  $L$  and  $M$ , the distant union  $L \sqcup M$  has the property:*

$$\langle L \sqcup M \rangle = (-A^2 - A^{-2}) \langle L \rangle \langle M \rangle = d \langle L \rangle \langle M \rangle$$

**The Kauffman Bracket of the Hopf link** We show here the calculation of the Kauffman bracket for the simplest non-trivial link with more than one component, i.e. the Hopf link depicted below [15].



By applying Rule 3 of Def. 3.2 to the upper crossing we get

$$\left\langle \text{Hopf link} \right\rangle = A \left\langle \text{link with one crossing resolved} \right\rangle + A^{-1} \left\langle \text{link with one crossing resolved} \right\rangle$$

Now we use also Rules 1 and 2 of Def. 3.2 to compute the new two brackets separately:

$$\left\langle \text{link with one crossing resolved} \right\rangle = A \left\langle \text{link with one crossing resolved} \right\rangle + A^{-1} \left\langle \text{link with one crossing resolved} \right\rangle = Ad + A^{-1} = (-A)^3$$

$$\left\langle \text{link with one crossing resolved} \right\rangle = A \left\langle \text{link with one crossing resolved} \right\rangle + A^{-1} \left\langle \text{link with one crossing resolved} \right\rangle = A + dA^{-1} = (-A)^{-3}$$

Finally we get

$$\left\langle \text{Hopf link} \right\rangle = A \left\langle \text{link with one crossing resolved} \right\rangle + A^{-1} \left\langle \text{link with one crossing resolved} \right\rangle = -A^4 - A^{-4}$$

It is worth noting that the Hopf link calculated here and the one obtained by reversing all the crossings have the same Kauffman brackets, i.e.

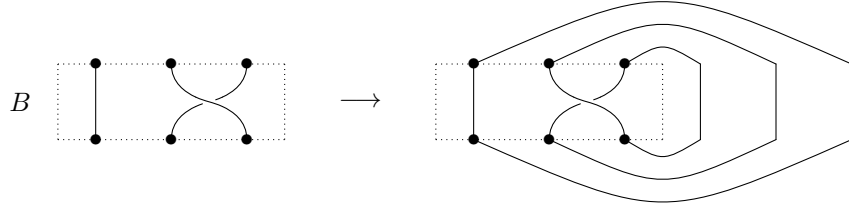
$$\left\langle \text{Hopf link} \right\rangle = \left\langle \text{Hopf link} \right\rangle$$

## 2.2 Braids and Links

A braid can be visualised as an intertwining of some number of strands, i.e. strings attached to top and bottom bars such that each string never turns back.

Given  $n$  strands, the operator  $\sigma_i$  performs a crossing between the  $i^{th}$  strand and the  $(i+1)^{th}$ , keeping the former above the latter. In a similar way, the operator  $\sigma_i^{-1}$  denotes a crossing of the  $i^{th}$  strand below the  $(i+1)^{th}$ . A generic braid  $B$  on  $n$  strings is obtained by iteratively applying the  $\sigma_i$  and  $\sigma_i^{-1}$  operators in order to form a braid-word, e.g.  $\sigma_1\sigma_2\sigma_1^{-1}\sigma_4$ . It is well-known that the operators  $\sigma_i$  and  $\sigma_i^{-1}$  on  $n$  strands define a group  $B_n$  called *braid group* [18].

**Definition 2. (Markov trace)** Given a braid  $B$ , its Markov trace is the closure obtained connecting opposite endpoints of  $B$  together, as shown below.



The relation between links and open ended strands is defined by two important theorems [3,4].

**Theorem 1 (Alexander's theorem).** *Every link (or knot) can be obtained as the closure of a braid.*

The result of the Markov closure of a braid  $B$  is a link that we will denote by  $L = (B)^{Markov}$ .

**Theorem 2 (Markov's theorem).** *The closure of two braids  $B_1$  and  $B_2$  gives the same link (or knot) if and only if it is possible to transform one braid into the other by successive applications of the Markov moves:*

- 1) *conjugation:*  $B = \sigma_i B \sigma_i^{-1} = \sigma_i^{-1} B \sigma_i$ , where  $B \in B_n$
- 2) *stabilization:*  $B = B \sigma_n^{-1} = B \sigma_n$ , where  $\sigma_n$ ,  $B \sigma_n$  and  $B \sigma_n^{-1} \in B_{n+1}$ .

## 3 Topological Quantum Computation

Topological Quantum Computation (TQC) [6,13,14] is related to the presumable existence of some special particles, called *anyons*, whose statistics substantially differ from the more common physical particles observed in nature. They were discovered at the end of the 1970's when Leinaas and Myrheim observed that these particles could not be identified neither with bosons nor with fermions; in fact their behaviour could be described by the statistics generated by the exchanging of one particle with another. This exchange rotates the system quantum state and produces non trivial phases [19].

In the following we give a quick explanation of the basic features of the TQC computational paradigm, which we will use for defining our algorithm for the Hamming distance and its kernelisation.

In order to perform a topological quantum computation we need to fix an *anyon system*, i.e. a system with a fixed number anyons for which we specify: (1) the type, i.e. the anyon physical charge, (2) the fusion rules  $N_{ab}^c$  (i.e. the laws of interaction), (3) the  $F$ -matrices, and (4) the  $R$ -matrices. The role of these latter will be made clear in the following.

The *fusion rules*, give the charge of a composite particle in terms of its constituents. The fusion rule  $a \otimes b = N_{ab}^c c$  indicates the different ways of fusing  $a$  and  $b$  into  $c$ ; these are exactly  $N_{ab}^c$ . Dually, we can look at these rules as *splitting rules* giving the constituent charges of a composite particle.

An anyon type  $a$  for which  $\sum_c N_{ab}^c > 1$  is called *non-Abelian*. In other words, a non-Abelian anyon is one for which the fusion with another anyon may result in anyons of more than one type. This property is essential for computation because it implies the possibility of constructing non trivial computational spaces, i.e. spaces of dimension  $n \geq 1$  of ground states where to store and elaborate information. Such spaces correspond to so-called *fusion spaces*. The fusion space,  $V_{ab}^c$ , of a particle  $c$ , or dually its splitting space  $V_c^{ab}$ , is the Hilbert space spanned by all the different (orthogonal) ground states of charge  $c$  obtained by the different fusion channels. The dimension of such a space is called the *quantum dimension* of  $c$ ; clearly this is 1 for Abelian anyons.

Considering the dual splitting process, a non-Abelian anyon can therefore have more than one splitting rule that applies to it, e.g.  $a \otimes b = c$  and  $e \otimes b = c$ . Given an anyon of type  $c$  we can split it into two new anyons  $a, b$  and obtain a tree with root  $c$  and  $a, b$  as leaves. By applying another rule to  $a$ , say  $a = c \otimes d$ , we will obtain a tree with leaf anyons  $c, d, b$  and root  $c$ . The same result can also be obtained by splitting the original anyon  $c$  into  $e, b$  and, supposing that there exists a fusion rule of the form  $c \otimes d = e$ , we can again split  $e$  into the leaves  $c$  and  $d$ . The two resulting , which have leaf anyons and root anyon of same type and differ only for the internal anyons  $a, e$ , represent two orthogonal vectors of the Hilbert space  $V_c^{cdb}$ .

Applying the fusion rules in different order generates other (non orthogonal) trees which have different shapes but contain the same information. This is because the total charge is conserved by locally exchanging two anyons, a property that deserves the ‘topological’ attribute to anyon systems and that determines the fault-tolerance of the quantum computational paradigm based on them.

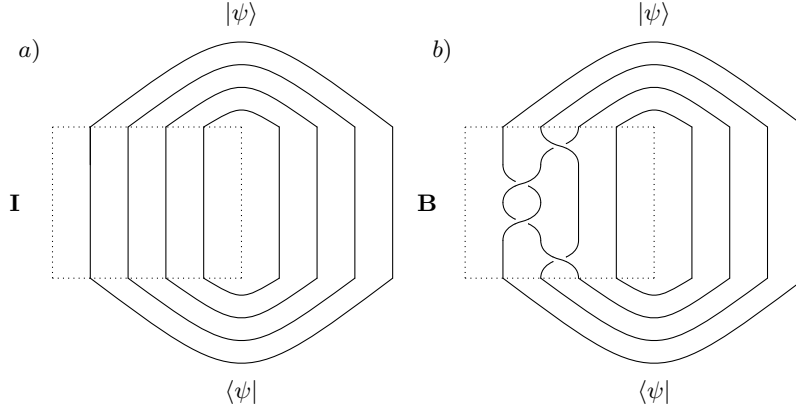
### 3.1 Computing with Anyons

The idea behind the use of anyons for performing computation is to exploit the properties of their statistical behavior; this essentially means to look at the exchanges of the anyons of the system as a process evolving in time, i.e., looking at an anyon system as a 2+1 dimensional space. This corresponds to *braiding* the threads (a.k.a. world-lines) starting from each anyon of the system. Particle trajectories are braided according to rules specifying how pairs (or bipartite

subsystems) behave under exchange. The braiding process causes non-trivial unitary rotations of the fusion space resulting in a *computation*. Equivalently, a topological quantum computation can be seen as a splitting process (creating the initial configuration) followed by a braiding process (the unitary transformation) followed by a fusion process (measuring the final state). The latter essentially consists in checking whether the initial anyons fuse back to the vacuum from which they were created by splitting.

### 3.2 Calculation of the Kauffman Bracket via TQC

Consider  $n$  pairs of anyons created (via splitting) from the vacuum. Each anyonic pair is in the vacuum fusion channel with initial state denoted by  $|\psi\rangle$ . The final state  $\langle\psi|$  corresponds to a fusion of these anyons back into the vacuum [15].



**Fig. 2.** Two anyonic quantum evolutions. In both cases pairs of anyons are created from the vacuum and then fused back into it. In a) no braiding, i.e the identity operator, is performed, in b) some braiding operator is applied.

As shown in Figure 2 part *a*, if no braiding is performed on the anyons (**I** stands for the identity), then the probability that they fuse back to the vacuum in the same pairwise order is trivially given by

$$\langle\psi|\mathbf{I}|\psi\rangle = \langle\psi|\psi\rangle = 1.$$

Consider instead the situation represented in Figure 2 part *b*, where, after creating  $n = 8$  anyons in pairs from the vacuum, we braid half of them with each other to produce the anyonic unitary evolution represented by the operator **B**. In this case, the probability amplitude of fusing the anyons in the same pairwise order to obtain the vacuum state is given by

$$\langle\psi|\mathbf{B}|\psi\rangle = \frac{\langle(B)^{Markov}\rangle}{d^{n-1}}, \quad \text{where } d = (-A^2 - A^{-2}). \quad (1)$$

This equation expresses the relation between the probability amplitude of obtaining the vacuum state after the braiding given by the operator  $\mathbf{B}$  and the Kauffman bracket of the link obtained from the Markov trace of braid  $B$ , i.e.  $(B)^{Markov}$ .

## 4 Topological Quantum Calculation of Hamming Distance between Binary Strings

In this section we define a topological quantum algorithm for the approximation of the Hamming distance between two binary strings. This will be the base for the definition of a distance based kernel.

**Definition 3. (*Hamming distance*)** *Given two binary strings  $u$  and  $v$  of length  $n$ , the Hamming distance  $d_H(u, v)$  is the number of components (bits) by which the strings  $u$  and  $v$  differ from each other.*

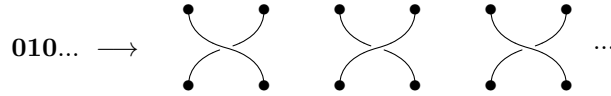
### 4.1 Encoding Binary Strings in TQC

Given a binary string  $u$ , we associate to each 0 and 1 in  $u$  a particular braiding between two strands as follows:

- 0 is identified with the crossing  $\sigma_i$       - 1 is identified with the crossing  $\sigma_i^\dagger$



Note that, using this encoding, a given binary string of length  $n$  is uniquely represented by a pairwise braiding of  $2n$  strands i.e. by a braid  $B \in B_{2n}$  as shown below.



### 4.2 Hamming Distance Calculation: Base Case

Given two binary strings of length one ( $n = 1$ ),  $u$  and  $v$ , we consider the braiding operators,  $\mathbf{B}_u$  and  $\mathbf{B}_v$ , associated to  $u$  and  $v$ , respectively. Then we construct the composite braiding operator  $\mathbf{B}_u \mathbf{B}_v^\dagger$  and apply the Markov trace, obtaining a link. Our aim is to calculate the Hamming distance  $d_H(u, v)$  by exploiting the properties of the Kauffman brackets associated to these links. All the possible cases are shown below.

As we can see from Figure 3,



This means that, when the Hamming distance is zero (i.e. in the cases  $d_H(0, 0)$  and  $d_H(1, 1)$ ), the probability of the anyons fusing back into the vacuum is 1. When the hamming distance is 1 instead (i.e. in both cases  $d_H(0, 1)$  and  $d_H(1, 0)$ ), this probability reduces to  $\left| \frac{\langle \mathbf{Hopf} \rangle}{d} \right|^2$ .

### 4.3 Hamming Distance Calculation: General Case

What was shown in the previous paragraph can be easily generalised. Consider two binary strings  $u$  and  $v$  of length  $n > 0$  such that  $d_H(u, v) = k$ .

This means that Markov trace of the  $2n$  strand used in the encoding will give a number  $2(n - k)$  of loops and  $k$  Hopf links. Hence, the Kauffman bracket is calculated considering the distant union  $\sqcup$  between all these  $k + 2(n - k) = 2n - k$  links. What we get from anyon braiding is the following:

$$\begin{aligned} \langle \psi | \mathbf{B}_u \mathbf{B}_v^\dagger | \psi \rangle &= \frac{\langle (\mathbf{B}_u \mathbf{B}_v^\dagger)^{Markov} \rangle}{d^{2n-1}} = \frac{\langle \left( \bigsqcup_{i=1}^{2(n-k)} \bigcirc \right) \sqcup \left( \bigsqcup_{j=1}^k \mathbf{Hopf} \right) \rangle}{d^{2n-1}} = \\ &= d^{2(n-k)} \frac{\langle \left( \bigsqcup_{j=1}^k \mathbf{Hopf} \right) \rangle}{d^{2n-1}} = d^{2(n-k)} d^{k-1} \frac{\langle \mathbf{Hopf} \rangle^k}{d^{2n-1}} = \frac{\langle \mathbf{Hopf} \rangle^k}{d^k} \end{aligned}$$

where Property 1.1.1 and the rules of the Kauffman brackets have been used. Finally we can write

$$\langle \psi | \mathbf{B}_u \mathbf{B}_v^\dagger | \psi \rangle = \left( \frac{\langle \mathbf{Hopf} \rangle}{d} \right)^{d_H(u, v)} \quad (2)$$

which means that, given two arbitrary binary string  $u$  and  $v$ , of length  $n$ , their associated braiding  $\mathbf{B}_u$  and  $\mathbf{B}_v$  are such that the probability amplitude of  $2n$  anyons fusing back into the vacuum after a braid  $\mathbf{B}_u \mathbf{B}_v^\dagger$  is given by a constant  $\frac{\langle \mathbf{Hopf} \rangle}{d}$  multiplied by itself a number of times equal to the Hamming distance between the two strings  $d_H(u, v)$ .

From Equation 2 we can calculate an approximation to the Hamming distance  $d_H(u, v)$  as follows (note that like in the case of the evaluation of the Jones polynomials, the result is probabilistic):

$$d_H(u, v) = \log_{\frac{\langle \mathbf{Hopf} \rangle}{d}} \langle \psi | \mathbf{B}_u \mathbf{B}_v^\dagger | \psi \rangle .$$

## 5 Kernel Functions

Kernel functions are generalised inner products that profoundly extend the capabilities of any mathematical optimisation that can be written in terms of a *Gram matrix* of discrete vectors (for example, a Gram matrix of vectors over training examples in machine-learning or samples requiring interpolation in regression). In particular, the Gram matrix  $(\mathbf{x}_i^T \mathbf{x}_j)$  may be freely replaced by any kernel function  $K(\mathbf{x}_i, \mathbf{x}_j)$  that satisfies the Mercer condition, i.e. a condition guaranteeing positive semi-definiteness. Many optimisation problems fall into this category (e.g. the dual form of the support vector machine training problem [5]). The Mercer space is given in terms of the input space  $x$  via  $\phi(\mathbf{x})$ , where  $K(\mathbf{x}_i, \mathbf{x}_j) \equiv \phi(\mathbf{x}_i)^T \phi(\mathbf{x}_j)$ ; the Mercer condition guarantees the existence of  $\phi$ , but the kernel itself may be calculated based on any similarity function that gives rise to a legitimate kernel matrix. A kernel enforces a *feature mapping* of

the input objects into a Hilbert space; however, the feature mapping does not need at any stage to be directly computed in itself; the kernel matrix alone is sufficient. This can, for example, enable machine learning to apply in areas in which there is not a readily apparent real vector space of feature measures (a motivating example is genomics, for which it is much more straightforward to compute a similarity measure between pairs of DNA strands than it is to embed each strand individually into a vector space of feature measurements). More generally, the very large choice of kernels available effectively infinitely extends the capabilities of kernelisable regression and machine-learning algorithms, allowing them to apply to essentially arbitrary domains.

In the next we show how a kernel can be naturally defined using TQC. To this purpose we use the Hamming distance as a demonstrative example of an approach to the definition of kernel methods that may involve more complex distance notions (note that the Hamming distance is essentially the simplest case of an edit distance, which excludes edit operations such as insertion, deletion and substitution; these clearly provide a more general and accurate measure of sequence dissimilarities).

### 5.1 Hamming Distance Based Kernel

The topological quantum computation of the Hamming distance shown in Section 4 can be used to define a kernel function. In fact, the encoding of binary strings as vectors  $\mathbf{B}|\psi\rangle$  in the anyonic space allows us to define an embedding  $\phi$  into the Hilbert space  $\mathcal{H}$  defined by the fusion space of the anyonic configurations, i.e. for each string  $u$ , the mapping  $\phi(u)$  is such that  $\phi(u) = \mathbf{B}_u|\psi\rangle \in \mathcal{H}$ . With this, using Equation 2 we can define a string kernel by

$$K(u, v) \equiv \langle \psi | \mathbf{B}_u \mathbf{B}_v^\dagger | \psi \rangle = \left( \frac{\langle \mathbf{Hopf} \rangle}{d} \right)^{d_H(u, v)} = \left( \frac{A^4 + A^{-4}}{A^2 + A^{-2}} \right)^{d_H(u, v)}$$

If we work with so-called Fibonacci anyons, we have that  $A = e^{\pi i/10}$  and the resulting kernel matrix is semi-definite positive. Thus it satisfies the Mercer condition for a valid kernel. Moreover, we can show that the Euclidean distance in the Mercer space, i.e. the fusion space  $\mathcal{H}$ , can be defined in terms of  $\frac{\langle \mathbf{Hopf} \rangle}{d}$ . In fact, we have, using the fact that vectors in  $\mathcal{H}$  are normalized to unity,

$$\|\phi(u) - \phi(v)\|_{\mathcal{H}}^2 = \|\phi(u)\|_{\mathcal{H}}^2 + \|\phi(v)\|_{\mathcal{H}}^2 - 2\phi(u)^T \phi(v) = 2 - 2K(u, v).$$

## Conclusions

We have presented an encoding of the Hamming distance problem into a link invariant problem and we have shown how to solve it by means of topological quantum computation. We have also shown that the anyonic encoding of the string data and their braiding evolution naturally define a kernel function. The choice of a simple distance such as the Hamming distance allowed us to focus on

the description of the approach rather than on the technicalities of the encodings of more complex distance notions.

We are not aware of other approaches that similarly to ours associate some topological properties to a given problem with no intrinsic topology, in order to exploit TQC. Our aim is to further investigate the potential offered by topological quantum algorithmic techniques for Machine Learning. It will be the subject of future work to extend the range of applicability of topological quantum computation to kernel methods.

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